

## **PROCEDURES FOR ENGAGING CONSULTANTS**

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### GENERAL INSTRUCTIONS

“Consultation” is defined as professional services undertaken for personal compensation beyond the payment of a nominal honorarium and/or reimbursement for expenses. All Consultants (internal or external) must be engaged by the University through the University’s standard *Consulting Agreement*, after the *Request for Approval to Engage Consultant* has been approved. This policy is not designed to limit internally administered short-term teaching/speaking engagements by USA faculty of one or two days, for which reassignment from normal duties is not feasible. Neither is this policy applicable to the use of firms or individuals as licensed engineers and/or architects.

Consultants shall be selected using the following criteria:

- i) The requested services are essential and cannot be provided by persons receiving salary or otherwise compensated for their services by the University of South Alabama.
- ii) A selection process has been employed to secure the most qualified person available. Attach documentation to the *Request for Approval to Engage Consultant*.
- iii) The charges are appropriate considering the qualifications of the consultant, consultant’s normal charges, and the nature of the services rendered.
- iv) If the consultant is a USA employee, consultation is across departmental lines and is in addition to regular duties and/or it involves a separate or remote operation and is in addition to the employee’s regular department workload.
- v)

### CONSULTING AGREEMENT, continued

It is the responsibility of the benefiting department to ensure that the daily rate paid is reasonable and, if funded by a sponsoring Federal agency (either directly or as flow-through from another sponsor) does not exceed the maximum approved rate of that Federal agency. The Office of Grants and Contracts will monitor the payment process to further ensure that proper rates are used when Federal funds are the source.

When completing the agreement, the initiating department should make certain all requested information is provided as outlined below:

- i) Indicate date agreement is signed by the University.
- ii) The overall and specific objectives should be clearly stated in the spaces provided. If additional space is needed, a continuation sheet may be attached and referenced.
- iii) The projected beginning and ending dates of the consultation should be indicated.
- iv) The anticipated number of consulting days times the daily rate of pay should equal the subtotal amount for actual consulting before considering other expenses for which the Consultant may be reimbursed.
- v) Reimbursable expense should include all costs other than the Consultant fee. (Please note that, if the University purchases and pays for the airfare associated with the consulting effort, the University's travel procedures apply to the air travel related expenses. Of course, the airline ticket would not be included in the reimbursable expense amount.)

### PAYMENT TO CONSULTANT

To obtain payment, the Consultant will submit ~~an~~ a detailed invoice specifying dates on which service was performed, which must be approved by the Department. The Consultant's original signed claim for payment and/or reimbursement should follow the normal purchasing procedures required by the respective colleges, and the Office of Grants and Contracts. All invoices also shall be signed by the Vice President of the respective division.

Assistance to complete these forms may be requested from Sponsored Programs, AD 200, telephone #460-6456, or the Office of Grants and Contracts, AD 362, telephone #460-6434.

Attachments

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